Tópicos Avançados em Estado e Sociedade - Eletiva Linha

Instituições e Institucionalismo

Programa de Pós-graduação em Sociologia Política - PPGSP

Instituto Universitário de Pesquisas do Rio de Janeiro - Iuperj/UCAM

**2023.2 – Terça-feira, 18:00-21:00**

Professor Titular Antonio José Junqueira Botelho

**OBJETIVO GERAL**

Oferecer aos alunos um panorama das teorias e métodos recentes de estudo das instituições e institucionalismo, em particular as correntes do neo-institucionalismo.

**JUSTIFICATIVA**

As instituições representam um grande potencial e um grande desafio. Elas representam nosso esforço de organização coletiva. Nesse sentido, a compreensão da sociedade depende da natureza da dinâmica das instituições. Os desafios econômicos e sociais sempre envolvem elementos institucionais.

As instituições, no entanto, são pouco compreendidas, em sua origem, conteúdo e mudança. Nas últimas três décadas, a análise institucional nas suas diferentes vertentes disciplinares – econômica, sociológica e de ciência política – em particular nessa última, trouxe novos objetos e temáticas de análise para seu foco, jogou novas luzes no relacionamento e dinâmicas das instituições políticas tradicionais e ampliou seu o escopo explicativo, em particular aprofundando as possibilidades de teorização de da ciência política.

**CRITÉRIOS DE AVALIAÇÃO**

20% Participação

30% Duas apresentações em sala

25% Apresentação de Caso

25% Trabalho final – Resenha crítica do caso apresentado

**PROGRAMA**

**22 AGO Aula 1- Introdução**

*Parte 1 Fundamentos*

**29 AGO Aula 2- Das Instituições ao Institucionalismo**

PETERS, B. G. (1999, 2005 2nd edition). “Institutionalism Old and New,” in B. Guy Peters, Institutional Theory in Political Science. Continuum: 1-24.

PERES, P. S. (2008)” Comportamento ou Instituições? A evolução histórica do neo-institucionalismo da ciência política”. *Revista Brasileira de Ciências Sociais* 23(68): 53-72.

*Leituras adicionais:*

SANTOS, ANDRÉ M. dos. Quando comparamos para explicar: desenhos de pesquisa e sequências temporais na investigação de instituições políticas. *Rev. bras. Ci. Soc.* [online]. 2012, vol.27, n.80, pp. 203-217. ISSN 0102-6909.

**5 SET Aula 3 – O Novo Institucionalismo**

MARCH, J. G. AND OLSEN, J. P. (1984). “The new institutionalism: organizational factors in political life”. *American Political Science Review*, 78: 734–49. Versão em Português: (2008). “Neo-Institucionalismo: Fatores Organizacionais na Vida Política,” *Rev. Sociol. Polít.*, Curitiba, 16(31): 121-142.

POWELL, W. AND DIMAGGIO, P. J. (1991). “Introduction” in Walter W. Powell e Paul J. DiMaggio, eds., The New Institutionalism in Organizational Analysis. The University of Chicago Press: 183-203.

SCOTT, W.R. (2014 4th edition). “Early Institutionalists” in W. Richard Scott, Institutions and Organizations. Sage: 1-20.

Leituras adicionais:

LOWNDES, V. 1996. Varieties of new institutionalism: a critical appraisal. *Public Administration*, 74: 181–97.

POWELL, W. (1991). “Expanding the scope of institutional analysis” em Walter W. Powell e Paul J. DiMaggio, eds., The New Institutionalism in Organizational Analysis. The University of Chicago Press: 183-203.

*Parte 2 Instituições*

**12 SET Aula 4 – Estado**

JESSOP, B. (2006), “The State and state-building,” in R. A W. Rhodes, Sarah A. Binder e Bert A. Rockman, eds., The Oxford Handbook of Political Institutions. Oxford University Press: 111-130.

**Apresentação 1 Luciane Pereira de Araújo:** KETTL, D. F. (2006), “Public Bureaucacies,” in R. A W. Rhodes, Sarah A. Binder e Bert A. Rockman, eds., The Oxford Handbook of Political Institutions. Oxford University Press: 366-384.

Leituras adicionais:

MOE, T. 1990. Political institutions: the neglected side of the story. *Journal of Law, Economics, and Organization*, 6: 213–53.

**19 SET Aula 5 – Formas de Estado**

**Apresentação 1 Gabriel Franco Borba:** HACKER, J. (2006), “The Welfare State” em R. A W. Rhodes, Sarah A. Binder e Bert A. Rockman, The Oxford Handbook of Political Institutions. Oxford University Press: 385-406.

BRAITHWHAITE, J. (2006), “The Regulatory State” em R. A W. Rhodes, Sarah A. Binder e Bert A. Rockman, The Oxford Handbook of Political Institutions. Oxford University Press: 407-430.

**26 SET Aula 6 – Federalismo**

**Apresentação 1 Adriana Soares de Souza:** GALLIGAN, B. (2006), “Comparative Federalism” em R. A W. Rhodes, Sarah A. Binder e Bert A. Rockman, The Oxford Handbook of Political Institutions. Oxford University Press: 261-280.

SBRAGIA, A. (2006), “American federalism and intergovernmental relations” em R. A W. Rhodes, Sarah A. Binder e Bert A. Rockman, The Oxford Handbook of Political Institutions. Oxford University Press: 239-260.

**3 OUT Aula 7 – Judiciário**

**Texto para discussão**: MCGUIRE, K. (2006), “The judicial process and public policy” em R. A W. Rhodes, Sarah A. Binder e Bert A. Rockman, The Oxford Handbook of Political Institutions. Oxford University Press: 535-554.

GIBSON, J. (2006), “Judicial institutions” em R. A W. Rhodes, Sarah A. Binder e Bert A. Rockman, The Oxford Handbook of Political Institutions. Oxford University Press: 514-534.

*Parte 3 Neo-institucionalismos*

**10 OUT Aula 8 – Correntes do Neo-institucionalismo**

**Textos para discussão**: HALL, P. A.; TAYLOR, R. C. R. (2003). “As três versões do neo-institucionalismo”. *Lua Nova Revista de Cultura e Política*, n. 58, p. 193-223, 2003.

MARCH, J. G. AND OLSEN, J. P. (2006). “Elaborating the ‘New Institutionalism’” in R. A W. Rhodes, Sarah A. Binder e Bert A. Rockman, eds., The Oxford Handbook of Political Institutions. Oxford University Press: 3-22.

IMMERGUT, ELLEN (1998). “The Theoretical Core of the New Institutionalism.” *Politics and Society* 25 (4): 5-34.

*Leituras adicionais:*

MARCH, J. G.; OLSEN, J. P. Rediscovering institutions: the organizational basis of politics. New York: The Free Press, 1989. [ [Links](http://www.scielo.br/scieloOrg/php/reflinks.php?refpid=S1679-3951201200010000400024&lng=en&pid=S1679-39512012000100004) ]

NEE, V. The new institutionalism in sociology. New York: Russell Sage Foundation, 1998. [ [Links](http://www.scielo.br/scieloOrg/php/reflinks.php?refpid=S1679-3951201200010000400028&lng=en&pid=S1679-39512012000100004) ]

**17 OUT Aula 9 - Institucionalismo Racional**

**Texto para discussão**: OSTROM, E. (2007 (1999)). ”Chapter 2 - Institutional Rational Choice: An Assessment of the Institutional Analysis and Development Framework,” in Paul Sabatier, ed., Theories of the Policy Process. Westview Press: 21-64.

LEVI, MARGARET (2009). “A Model, a Method, and a Map: Rational Choice in Comparative and Historical Analysis,“ in Mark Lichbach and Alan Zuckerman, eds., Comparative Politics: Rationality, Culture, and Structure*,* 19-41. Cambridge: Cambridge University Press.

Leituras adicionais:

OSTROM, Elinor. A Behavioral Approach to the Rational Choice Theory of Collective Action: presidential address, American Political Science Association, 1997. *The American Political Science Review*, Vol. 92, N. 1 (Mar., 1998), pp. 1-22.

**24 OUT Aula 10 – Institucionalismo Histórico (I)**

**Apresentação 2 Gabriel Franco Borba:** SKOCPOL T., PIERSON P. (2002). “Historical Institutionalism in Contemporary Political Science”. In: Katznelson I., Milner H. V. Political Science: State of the Discipline. New York: W.W. Norton: 693-721.

PETERS, B. G. (2005). “Chapter 4-The Legacy of the Past: Historical Institutionalism”, in B. Guy Peters, Institutional Theory in Political Science. Continuum: 70-86.

Leituras adicionais:

THELEN, K. (1999). “Historical Institutionalism in Comparative Politics”, *Annu. Rev. Polit. Sci.* 2: 369-404.

HAY, COLIN AND DANIEL WINCOTT. 1998. “Structure, Agency, and Historical Institutionalism.” *Political Studies* 46 (5): 951-58.

SANDERS, ELIZABETH (2006), “Historical Institutionalism,” in R. A W. Rhodes, Sarah A. Binder e Bert A. Rockman, eds., The Oxford Handbook of Political Institutions. Oxford University Press: 39-56.

**31 OUT Aula 11 – Institucionalismo Histórico (II)**

**Apresentação 2 Adriana Soares de Souza:** THELEN, K. AND MAHONEY, J. (2015), “Comparative-historical analysis in contemporary political science,” in James Mahoney and Kathleen Thelen, eds., Advances in Comparative-Historical Analysis. Cambridge UK, Cambridge University Press: 3-36.

ORFEO FIORETOS, TULIA G. FALLETI, AND ADAM SHEINGATE (2017). “Historical Institutionalism in Political Science” Chapter 1. The Oxford Handbook of Historical Institutionalism. Oxford: Oxford University Press.

Leituras adicionais:

Streeck, W. (2010), “Institutions in History: Bringing Capitalism Back In,“ in Glen Morgan, John L. Campbell, Colin Crouch, Ove Kaj Pedersen and Richard Whitley, editors, The Oxford Handbook of Comparative Institutional Analysis. Oxford University Press: 659-686.

**7 NOV NÃO HAVERÁ AULA**

**14 NOV Aula 12 – Institucionalismo Sociológico: Ideias e Discurso**

**Apresentação 2 Luciane Pereira de Araújo:** SCHMIDT, V. A. (2010), “Taking ideas and discourse seriously: explaining change through discursive institutionalism as the fourth ‘new Institutionalism”, *European Political Science Review* 2(10) March: 1 – 25.

PETERS, B. G. (2005), “Chapter 6-Sociological Institutionalism,” in B. Guy Peters, Institutional Theory in Political Science. Continuum: 107-122.

Leituras adicionais:

Blyth, M. (1997). "’Any More Bright Ideas?’: The Ideational Turn of Comparative Political Economy.” *Comparative Politics*, 29(2): 229-250.

Berman, S. (2001). “Ideas, Norms and Culture in Political Analysis.” *Comparative Politics*, 33(2): 231-250.

Finnemore, M. (1996). “Norms, Culture, and World Politics: Insights from Sociology's Institutionalism.” *International Organization*, 50(2): 325-347.

Lieberman, R. C. (2002). “Ideas, Institutions, and Political Order: Explaining Political Change.” *American Political Science Review*, 96(4): 697-712.

*Parte 4 Novas Perspectivas*

**21 NOV Aula 13 – Balanço**

MAHONEY, JAMES (2000). “Path Dependence in Historical Sociology.” *Theory and Society* 29 (4): 507-548.

**Texto para discussão**: STREECK, WOLFGANG (2015). “Epilogue: comparative-historical analysis: past, present, future,” in James Mahoney and Kathleen Thelen, editors, Advances in Comparative-Historical Analysis. Cambridge UK, Cambridge University Press: 264-288.

Leituras adicionais:

ADCOCK, ROBERT, et al., 2007. “Historicizing the New Institutionalism(s)” in Adcock, Robert, et al. Modern Political Science: Anglo-American Exchanges Since 1880. Princeton, New Jersey, Princeton University Press.

KATZNELSON, IRA AND BARRY R. WEINGAST, 2005. “Intersections Between Historical and Rational Choice Institutionalism” in Katznelson, Ira and Barry R. Weingast, eds. Preferences and Situations: Points of Intersection Between Historical and Rational Choice Institutionalism. New York, Russell Sage: 1-26.

**28 NOV NÃO HAVERÁ AULA**

**5 DEZ Aula 14 – Mudança Institucional**

**Texto para discussão**: MAHONEY, JAMES & THELEN, KATHLEEN, 2010. “A Theory of Gradual Institutional Change” in James Mahoney and Kathleen Thelen, eds., Explaining institutional change: ambiguity, agency, and power. Nova York, Cambridge University Press: 1-37.

**Texto para discussão**: REZENDE, FLÁVIO DA CUNHA (2012). “Da exogeneidade ao gradualismo: Inovações na teoria da mudança institucional”. *Revista Brasileira de Ciências Sociais*, 27(78), Fevereiro: 113-130.

Leituras complementares:

GREIF, AVNER & LAITIN, DAVID. (2004). “A theory of endogenous institutional change”. *American Political Science Review*, 98 (4): 633-652.

Lieberman, Robert. (2002). “Ideas, institutions, and political order: explaining political change”. *American Political Science Review*, 96 (4): 697-711.

**12 DEZ Aula 15 – Apresentação Casos**

**Apresentação Caso - Adriana Soares de Souza:**

Lubell, Mark, Garry Robins and Peng Wang (2010). “Policy Coordination in an Ecology of Water Management Games.” Presented at the 2010 Annual Meeting of the Midwest Political Science Association, Chicago, IL.

**Apresentação Caso - Luciane Pereira de Araújo:**

Lieberman, Robert C. (2002). “Ideas, Institutions, and Political Order: Explaining Political Change.” *American Political Science Review*, 96(4), pages 697-712, December.

**Apresentação Caso - Gabriel Franco Borba:**

Cartwright, Madison (2021). "Historical institutionalism and technological change: the case of Uber.” *Business & Politics*, 23(1), pages 67-90, March.

Brint, Steven and Karabel, Jerome (1991). “Institutional Origins and Transformations: The Case of American Community Colleges,” in Walter W. Powell and Paul J. DiMaggio, eds., The New Institutionalism in Organizational Analysis. Chicago and London: The University of Chicago Press: 337-360.

Falleti, Tulia G. (2010). “Infiltrating the State: The Evolution of Health Care Reforms in Brazil, 1964-1988” in James Mahoney and Kathleen Thelen, eds., Explaining institutional change: ambiguity, agency, and power. Nova York, Cambridge University Press: 38-62.

Onoma, Ato Kawamena (2010). “The Contradictory Potential of Institutions: The Rise and Decline of Land Documentation in Kenya” in James Mahoney and Kathleen Thelen, eds., Explaining institutional change: ambiguity, agency, and power. Nova York, Cambridge University Press: 63-93.

Thelen, Kathleen (2004). “Evolution and change in the German system of vocational training” in How Institutions Evolve. Nova York, Cambridge University Press: 215-277.

Versluys, Helen (2007). ”Explaining Patterns of Delegation in EU Humanitarian Aid Policy”. *Perspectives* 28: 63-84.